

## Lecture 15 - Phase Estimation Exercise

## Question

Let  $U$  be a single-qubit unitary with (unknown) eigenstates  $|\psi_+\rangle$  and  $|\psi_-\rangle$  with eigenvalues  $+1$  and  $-1$ , respectively:

$$\begin{aligned} U|\psi_+\rangle &= |\psi_+\rangle \\ U|\psi_-\rangle &= -|\psi_-\rangle \end{aligned}$$

Suppose we can apply controlled- $U$ , but otherwise cannot see the exact matrix representation of  $U$ . Design a quantum algorithm which generates an eigenstate of  $U$  at random (not necessarily uniformly at random).

## Approach

This problem looks similar to the setup of phase estimation, so let's first recall that setting:

**Phase Estimation**

**Setup:** Unitary  $U$  with eigenstate  $|\psi\rangle$  with eigenvalue  $e^{2\pi i\theta}$

**Input:** Unitary  $\Lambda_m(U)$  such that

$$\Lambda_m(U)(|k\rangle \otimes |\varphi\rangle) = |k\rangle \otimes U^k |\varphi\rangle$$

for all states  $|\varphi\rangle$  and all integers  $k \in \{1, 2, \dots, 2^m - 1\}$  written in binary using  $m$  bits.

**Output:** Approximation  $\tilde{\theta}$  of  $\theta$  with high probability:

$$|\tilde{\theta} - \theta| \leq \frac{1}{2^{m+1}}$$

As a special case, when  $\theta = j/2^m$  for some integer  $j \in \{0, \dots, 2^m - 1\}$ , the phase estimation circuit outputs  $j$  with certainty (hence, can determine eigenvalue exactly).

We need to massage the input of the question to fit the setting of phase estimation.

**Claim 1.** *Controlled- $U$  is the same operation as  $\Lambda_m(U)$  for  $m = 1$ .*

*Proof.* Notice that when  $m = 1$ , we can only use 1 bit to represent the integer  $k$  in the definition of  $\Lambda_m(U)$ . Therefore, there are only two cases to consider  $k = 0$  and  $k = 1$ , which are (conveniently) the same written in binary:

$$\begin{aligned} \Lambda_1(U)(|0\rangle \otimes |\varphi\rangle) &= |0\rangle \otimes |\varphi\rangle \\ \Lambda_1(U)(|1\rangle \otimes |\varphi\rangle) &= |1\rangle \otimes U|\varphi\rangle \end{aligned}$$

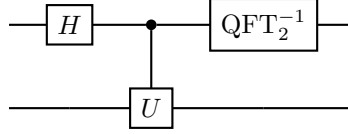
In other words, when  $k = 0$ , we do nothing, and when  $k = 1$  we apply  $U$ . This is the exact definition of controlled- $U$ .  $\square$

Now let's turn to the representation of the eigenvalues  $+1$  and  $-1$  as numbers on the complex unit circle, i.e.,  $e^{2\pi i\theta}$  for some value of  $\theta$ . It will turn out that we can represent these numbers with a  $\theta$  which is exactly  $j/2$  for some integer  $j$ , so phase estimation is exact.

**Claim 2.**  $e^{2\pi i(j/2)}$  is 1 when  $j = 0$  and  $-1$  when  $j = 1$ .

*Proof.* Follows from the fact that  $e^0 = 1$  and  $e^{i\pi} = -1$ .  $\square$

We are ready to apply the phase estimation circuit  $Q$ , which looks like the following in the case of  $m = 1$ :

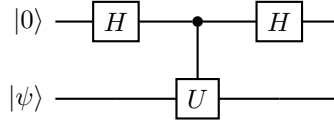


By the input/output behavior of phase estimation, we have that

$$Q |0\rangle |\psi_+\rangle = |0\rangle |\psi_+\rangle \quad (1)$$

$$Q |0\rangle |\psi_-\rangle = |1\rangle |\psi_-\rangle \quad (2)$$

In other words, when we apply the phase estimation algorithm the first qubit flags whether or not the state of the second register is the  $+1$  eigenstate or the  $-1$  eigenstate. It will be useful to be able to do these kinds of calculations using the properties of phase estimation, but for such a simple setting, we can verify these equations explicitly. The key to do so is to recall that  $\text{QFT}_2$  is just single-qubit Hadamard, which implies that  $\text{QFT}_2^{-1}$  is also Hadamard. That is, the circuit for the equations above becomes



where  $|\psi\rangle$  is one of  $|\psi_+\rangle$  or  $|\psi_-\rangle$ . For  $|\psi_+\rangle$ , we get

$$|0\rangle |\psi_+\rangle \xrightarrow{H \otimes I} \frac{|0\rangle |\psi_+\rangle + |1\rangle |\psi_+\rangle}{\sqrt{2}} \xrightarrow{C-U} \frac{|0\rangle |\psi_+\rangle + |1\rangle U |\psi_+\rangle}{\sqrt{2}} = \frac{|0\rangle |\psi_+\rangle + |1\rangle |\psi_+\rangle}{\sqrt{2}} = |+\rangle |\psi_+\rangle \xrightarrow{H \otimes I} |0\rangle |\psi_+\rangle$$

and for  $|\psi_-\rangle$ , we get

$$|0\rangle |\psi_-\rangle \xrightarrow{H \otimes I} \frac{|0\rangle |\psi_-\rangle + |1\rangle |\psi_-\rangle}{\sqrt{2}} \xrightarrow{C-U} \frac{|0\rangle |\psi_-\rangle + |1\rangle U |\psi_-\rangle}{\sqrt{2}} = \frac{|0\rangle |\psi_-\rangle - |1\rangle |\psi_-\rangle}{\sqrt{2}} = |-\rangle |\psi_-\rangle \xrightarrow{H \otimes I} |1\rangle |\psi_-\rangle$$

As expected, these calculations agree with equations (1) and (2) above.

These calculations were done assuming we had access to an eigenstate of  $U$ . Clearly, however, we can't use that information since that's what we were supposed to generate in the first place. The trick will be to use the fact that  $|\psi_+\rangle$  and  $|\psi_-\rangle$  form a basis:

**Fact 3.** *Let  $U$  be an  $m$ -qubit unitary with distinct eigenvalues.  $U$  has exactly  $2^m$  orthonormal eigenstates  $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_{2^m}\rangle$ . Therefore, these eigenstates form a basis for all  $m$ -qubit states.*

Using the fact, we can take any state, say  $|0\rangle$  and write it in the eigenstate basis:

$$|0\rangle = \alpha |\psi_+\rangle + \beta |\psi_-\rangle$$

where  $\alpha, \beta$  are complex amplitudes. It's worth emphasizing that because we don't know the eigenstates, we also don't know the amplitudes  $\alpha$  and  $\beta$ , but that will be okay to solve the problem. Now, when we apply the phase estimation circuit  $Q$  using  $|0\rangle$  in the usual place of the eigenstate, we get

$$Q |0\rangle |0\rangle = Q |0\rangle (\alpha |\psi_+\rangle + \beta |\psi_-\rangle) = \alpha Q |0\rangle |\psi_+\rangle + \beta Q |0\rangle |\psi_-\rangle = \alpha |0\rangle |\psi_+\rangle + \beta |1\rangle |\psi_-\rangle$$

where in the last line we are once again using equations (1) and (2). To complete the problem, simply measure the first register. We get outcome 0 with probability  $|\alpha|^2$  and outcome 1 with probability  $|\beta|^2$ . Importantly, when we measure 0, the eigenstate  $|\psi_+\rangle$  is in the second register, and when we measure 1, the eigenstate  $|\psi_-\rangle$  is in the second register. In other words, we have prepared eigenstate  $|\psi_+\rangle$  with probability  $|\alpha|^2$  and the eigenstate  $|\psi_-\rangle$  with probability  $|\beta|^2$ . To generate, each state uniformly at random we could have started with a random state  $|\varphi\rangle$  (from something called the Haar measure) instead of the state  $|0\rangle$ .